

本中譯本僅供參考，其與英文版若有歧異，敬請以英文之內容為主。



## 瑞士隆奧系列基金

盧森堡設立之可變資本投資公司(簡稱“本公司”)

### 公司年度股東大會召開通知書

盧森堡, 2024 年 1 月 24 日

親愛的投資人：

本公司董事會（統稱「董事會」，單獨則稱「董事」）謹此邀請您出席將於 2024 年 2 月 16 日上午 11 時整（盧森堡時間）在本公司註冊辦公室舉行本公司年度股東大會，審議並表決以下議程：

|    | <u>議程</u>   |
|----|---|
| 1. | 確認本公司截至 2023 年 9 月 30 日止財務年度的董事會和經獨立審計之報告。  |
| 2. | 核准本公司截至 2023 年 9 月 30 日止財務年度的財務報表並視情況分配結果。  |
| 3. | 批准截至 2023 年 9 月 30 日的年度股利支付。  |
| 4. | 董事薪酬。   |
| 5. | 解除公司董事履行截至 2023 年 9 月 30 日財年職務的責任。  |
| 6. | 解除公司獨立會計師履行截至 2023 年 9 月 30 日財年職務的責任。   |
| 7. | 董事的法定選舉： <ul style="list-style-type: none"><li>• 再度委任 Denise Voss 女士（主席）、Jacques Elvinger 先生、Alexandre Meyer 先生、Jan Straatman 先生和 Yvar Mentha 先生擔任公司董事，直至 2025 年舉行的下一次年度股東大會為止。</li></ul> |
| 8. | 再度委任 PricewaterhouseCoopers, société coopérative, 擔任本公司獨立會計師，直至 2025 年舉行的下一次年度股東大會為止。   |
| 9. | 其他事項  |

本中譯本僅供參考，其與英文版若有歧異，敬請以英文之內容為主。



煩請股東留意議程項目無需達到法定人數，決策將由出席會議或出席會議股份的多數票作出。每股有權投票一票。

股東的投票權應根據會議召開前五個日曆日的午夜時（盧森堡時間）的股東名冊中所記錄的股東所持有之股份。

招股說明書、關鍵資訊文件（如適用）、公司章程、公司最近的年度報告和半年度報告均可在公司註冊辦事處免費獲取和/或可以根據要求免費發送給您。

如果您希望參加會議，請在會議前至少兩個工作天通知本公司您的意願，我們將不勝感激。

如果您無法出席會議，請您寄回隨附的經正式簽署的委託書，以及您有效的身份證/護照複印件或更新的授權簽名列表（如果您代表的是公司），並按照以下方式遞送：

請先選擇透過傳真（編號：+352 27 78 10 01），其次則是郵寄至 Mrs. Gaëlle Thiébaud, Lombard Odier Funds (Europe) S.A., 291, Route d'Arlon, L - 1150 Luxembourg，並確保在 2024 年 2 月 12 日午夜（盧森堡時間）之前讓我們收到。此後收到的任何委託書可能不予考慮。

此致，

董事會

## LOMBARD ODIER FUNDS

*Société anonyme*  
*Société d'investissement à capital variable*  
(the “Company”)

### CONVENING NOTICE TO THE ANNUAL GENERAL MEETING OF SHAREHOLDERS OF THE COMPANY

Luxembourg, 24 January 2024

Dear Shareholder,

The board of directors of the Company (collectively, the “Board of Directors” and each, a “Director”) hereby invites you to attend the annual general meeting of shareholders of the Company which will be held at the registered office of the Company on **16 February 2024 at 11:00 a.m. (Luxembourg time)** (the “Meeting”), to deliberate and vote on the following agenda:

| <u>AGENDA</u> |  |
|---------------|--|
| 1.            | Acknowledgement of the reports of the Board of Directors and the independent auditor of the Company for the financial year ended 30 September 2023 (the “Reports”).  |
| 2.            | Approval of the financial statements of the Company for the financial year ended 30 September 2023 (the “Accounts”) and allotment of results as the case maybe.  |
| 3.            | Ratification of the payments of dividends made during the year ended 30 September 2023.  |
| 4.            | Remuneration of the Directors.   |
| 5.            | Discharge to the Directors of the Company in respect of the carrying out of their duties for the financial year ended 30 September 2023.   |
| 6.            | Discharge to the independent auditor of the Company in respect of the carrying out of its duties for the financial year ended 30 September 2023.   |
| 7.            | Statutory election of Directors: <ul style="list-style-type: none"><li>• Re-election of Mrs. Denise Voss (Chairperson), Mr. Jacques Elvinger, Mr. Alexandre Meyer, Mr. Jan Straatman and Mr. Yvar Mentha as Directors of the Company until the next annual general meeting to be held in 2025.</li></ul> |
| 8.            | Re-election of PricewaterhouseCoopers, société coopérative, as independent auditor of the Company until the next annual general meeting to be held in 2025.  |
| 9.            | Miscellaneous.   |

Shareholders are advised that no quorum for the items of the Agenda is required and that the decisions will be taken at the majority vote of the shares present or represented at the Meeting. Each share is entitled to one vote.

The voting rights of the shareholders shall be determined by reference to the shares held by them as evidenced in the shareholders' register five (5) calendar days at midnight (Luxembourg time) before the Meeting.

The prospectus, the Key Information Document (the "KID") if applicable, the articles of incorporation, the most recent annual and semi-annual reports of the Company are available free of charge at the registered office of the Company and/or can be sent to you free of charge upon request.

Should you wish to participate to the Meeting we would be grateful if you could notify the Company of your intention at least two (2) business days prior to the Meeting.

Should you be unable to attend the Meeting, we would therefore be grateful if you could return the enclosed proxy form, duly signed, together with a copy of your ID Card/passport in force or an updated list of authorised signatures if you are acting on behalf of a corporation.

Please first proceed by telefax (no. +352 27 78 10 01), then by mail to the attention of Mrs. Gaëlle Thiébaud, Lombard Odier Funds (Europe) S.A., 291, route d'Arlon, L - 1150 Luxembourg, so as to be received no later than midnight (Luxembourg time) on 12 February 2024. Any proxies received thereafter may not be taken into account.

Yours faithfully,

The Board of Directors



## 委託書

### 瑞士隆奧系列基金

盧森堡設立之可變資本投資公司  
(簡稱“本公司”)

本人/吾等為下述簽署人 \_\_\_\_\_, 特此委託代理本人/吾等在本公司的 \_\_\_\_\_<sup>(1)</sup> 股份, 由 \_\_\_\_\_<sup>(2)</sup> (代理人) 或, 若無, 則由本公司股東大會主席, 全權代理, 代表本人/吾等出席在本公司於 2024 年 2 月 16 日上午 11 時整(盧森堡時間)在盧森堡註冊辦公室舉行的股東大會, 和/或在出於相同目的和相同議程而舉行的任何推遲或延期的會議上, 以本人/吾等的名義在以下議程中列出的事項採取行動和投票。

|    | 議程   | 贊成*                      | 反對*                      | 棄權*                      |
|----|--|--------------------------|--------------------------|--------------------------|
| 1. | 確認本公司截至 2023 年 9 月 30 日止財務年度的董事會和經獨立審計之報告。   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. | 核准本公司截至 2023 年 9 月 30 日止財務年度的財務報表並視情況分配結果。   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. | 批准截至 2023 年 9 月 30 日的年度股利支付。   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. | 董事薪酬。  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. | 解除公司董事履行截至 2023 年 9 月 30 日財年職務的責任。   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. | 解除公司獨立會計師履行截至 2023 年 9 月 30 日財年職務的責任。  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. | 董事的法定選舉：<br>• 再度委任 Denise Voss 女士（主席）、Jacques Elvinger 先生、Alexandre Meyer 先生、Jan Straatman 先生和 Yvar Mentha 先生擔任公司董事，直至 2025 年舉行的下一次年度股東大會為止。 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

|    | <b>議程</b>   | <b>贊成*</b>               | <b>反對*</b>               | <b>棄權*</b>               |
|----|---|--------------------------|--------------------------|--------------------------|
| 8. | 再度委任 PricewaterhouseCoopers, société coopérative, 擔任本公司獨立會計師，直至 2025 年舉行的下一次年度股東大會為止。 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. | 其他事項  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

\*請按照您的意願對議程決議進行投票，並勾選相應的方框。未表明對擬議決議投贊成票或反對票或棄權票的投票表格無效。

本人/吾等知道會議無需達到法定人數即可通過有效決議，決議將由出席會議或代表出席會議的股份的簡單多數通過。

本人/吾等特此進一步授權會議代理人/主席以本人/吾等的名義批准、拒絕、添加或修改議程上的任何項目，並給予會議代理人/主席簽署所有文件或執行與履行本授權書相關的所有必要或有用的行為，即使此處未明確說明。本人/吾等承諾在出現此類需要時批准並確認此類行為和簽名。

本人/吾等承諾向會議代理人/主席全額賠償其因善意採取的任何行動而遭受或招致的所有索賠、損失、費用、開支、損害或責任，包括執行本授權書所產生的任何費用。

遞交填妥的委託書並不妨礙股東親自出席會議並投票。

除非被撤銷，否則代理表格對於出於相同目的和相同議程舉行的任何推遲或休會的會議均應有效。希望在此推遲或休會的會議上投票並且以前沒有退還代理表格的股東可以填寫並根據此處的指示退還此代理表。

此授權書屬於盧森堡大公國的法律轄下，應根據盧森堡大公國的法律來解釋。盧森堡市區的法院應具有獨家管轄權，以審理與該授權書有關或與此有關的任何爭議或爭議。

#### 附註

(1)若本委託書中未指定股份數量，則本委託書將被視為對下列簽署人在股東名冊上截至會議五個日曆日的午夜（盧森堡時間）之前持有的所有股份有效。

(2)有權出席會議並投票的股東可以自行選擇指定一名代理人。如果進行此類指定，則必須在上面的專用空白處註明代理人的姓名（不必是公司股東）。如果本表格中沒有提及姓名，或者指定的代理人沒有親自出席會議，則主席有權作為代理人投票。



**LOMBARD ODIER**  
INVESTMENT MANAGERS

本書立於 \_\_\_\_\_ 於 \_\_\_\_\_.

\_\_\_\_\_  
(簽名)

(姓名和職稱)

請附上您的有效身分證/護照影本或最新的授權簽名清單。

## PROXY FORM

### LOMBARD ODIER FUNDS

*Société anonyme -  
Société d'investissement à capital variable  
(the "Company")*

I/We the undersigned \_\_\_\_\_, herewith give proxy for \_\_\_\_\_<sup>(1)</sup> shares that I/we hold in the Company, to \_\_\_\_\_<sup>(2)</sup> (the "Attorney") or, failing whom, the Chairman of the annual general meeting of shareholders of the Company (the "Meeting"), with full power of substitution, to represent me/us at the Meeting to be held at the registered office of the Company in Luxembourg on **16 February 2024 at 11:00 a.m. (Luxembourg time)**, and/or at any postponed or adjourned meeting held for the same purpose and with the same agenda, to act and vote in my/our name and on my/our behalf on the matters set out in the below agenda.

|    | <b><u>AGENDA</u></b>   | <i>For*</i>              | <i>Against*</i>          | <i>Abstain*</i>          |
|----|--|--------------------------|--------------------------|--------------------------|
| 1. | Acknowledgement of the reports of the Board of Directors and the independent auditor of the Company for the financial year ended 30 September 2023 (the "Reports").  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. | Approval of the financial statements of the Company for the financial year ended 30 September 2023 (the "Accounts") and allotment of results as the case maybe.  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. | Ratification of the payments of dividends made during the year ended 30 September 2023.  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. | Remuneration of the Directors.   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. | Discharge to the Directors of the Company in respect of the carrying out of their duties for the financial year ended 30 September 2023.   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. | Discharge to the independent auditor of the Company in respect of the carrying out of its duties for the financial year ended 30 September 2023.   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. | Statutory election of Directors: <ul style="list-style-type: none"> <li>• Re-election of Mrs. Denise Voss (Chairperson), Mr. Jacques Elvinger, Mr. Alexandre Meyer, Mr. Jan Straatman and Mr. Yvar Mentha as Directors of the Company until the next annual general meeting to be held in 2025.</li> </ul> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |



|    | <b><u>AGENDA</u></b>  | <i>For*</i>              | <i>Against*</i>          | <i>Abstain*</i>          |
|----|---|--------------------------|--------------------------|--------------------------|
| 8. | Re-election of PricewaterhouseCoopers, société coopérative, as independent auditor of the Company until the next annual general meeting to be held in 2025. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. | Miscellaneous.  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

\*Please tick the appropriate box in consideration of how you wish to vote on the resolutions of the agenda. Voting forms which show neither a vote (in favor or against the proposed resolutions) nor an abstention on the proposed resolution shall be void.

I/We am/are aware that no quorum is needed for the Meeting to pass a valid resolution and that resolutions will be passed by a simple majority of the shares present or represented at the Meeting.

I/We hereby further authorise the Attorney/Chairman of the Meeting to approve, reject, add or modify any item on the agenda in my/our name and give full power and authorisation to the Attorney/Chairman of the Meeting to sign all documents or perform all acts necessary or useful in connection with or in respect of the performance of this power of attorney even if not specifically indicated herewith. I/We undertake to ratify and confirm such acts and signatures should such need arise.

I/We undertake to fully indemnify the Attorney/Chairman of the Meeting against all claims, losses, costs, expenses, damages or liability which the Attorney/Chairman sustains or incurs as a result of any action taken by him/her in good faith pursuant to this power of attorney, including any costs incurred in enforcing this power of attorney.

Deposit of a completed proxy form will not preclude a shareholder from attending the Meeting and voting in person.

Unless revoked, the proxy form shall remain valid for any postponed or adjourned meeting held for the same purpose and with the same agenda. Shareholders who wish to vote at this postponed or adjourned meeting and who have not previously returned a proxy form may complete and return this proxy form in accordance with the instructions herein.

This power of attorney is governed by and shall be construed in accordance with the laws of the Grand Duchy of Luxembourg. The courts of the district of the city of Luxembourg shall have exclusive jurisdiction to hear any dispute or controversy arising out of or in connection with this power of attorney.

### Notes

(1) If the number of shares is not specified in this proxy, this proxy will be assumed to be valid for all the shares held by the undersigned as per the register of shareholders five (5) calendar days at midnight (Luxembourg time) before the Meeting.

(2) Shareholders entitled to attend and vote at the Meeting may appoint a proxy of their own choice. If such an appointment is made, the name of the proxy (who need not be shareholder of the Company) must be indicated in the dedicated space above. If no name is mentioned in this form, or should the designated proxy not attend the Meeting in person, the Chairman shall be entitled to vote as proxy.



**LOMBARD ODIER**  
INVESTMENT MANAGERS

Made in \_\_\_\_\_ on \_\_\_\_\_.

\_\_\_\_\_  
(Signature)

(Name and Title)

Please enclose a copy of your ID Card / passport in force or an updated list of authorised signatures.